



PATENT APPLICATION

THE U.S. PATENT AND TRADEMARK OFFICE

April 30, 2008

DPA

Applicants: Hans-Peter FIEDLER et al

For: POLYCYCLIC MACROLACTONES

Serial No.: 10/574 237 Group: 1632

Confirmation No.: 5895

Filed: August 23, 2007 Examiner: Unknown

International Application No.: PCT/EP2004/010661

International Filing Date: September 23, 2004

Atty. Docket No.: 5000.P0096US

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Sir:

The pending Filing Receipt contains an error. Please see the attached red-corrected photocopy of the Filing Receipt and the highlighted portion of the attached copy of the Declaration.

Respectfully submitted,

  
Terryence F. Chapman

TFC/smd

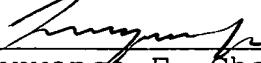
FLYNN, THIEL, BOUTELL & TANIS, P.C. 2026 Rambling Road Kalamazoo, MI 49008-1631 Phone: (269) 381-1156 Fax: (269) 381-5465	David G. Boutell Terryence F. Chapman Mark L. Maki Liane L. Churney John A. Waters Brian R. Tumm Donald J. Wallace Stephen C. Holwerda Dale H. Thiel Sidney B. Williams, Jr. Heon Jekal	Reg. No. 25 072 Reg. No. 32 549 Reg. No. 36 589 Reg. No. 40 694 Reg. No. 24 802 Reg. No. 36 328 Reg. No. 43 977 Reg. No. 57 391 Reg. No. 24 323 Reg. No. 24 949 Reg. No. L0379*
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**CERTIFICATE OF MAILING**

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Terryence F. Chapman



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APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
10/574,237	08/23/2007	1632	1530	5000.P0096US	26	4

23474

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DHT DSB TFC  
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SRT DW SBW



CONFIRMATION NO. 5895

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OC000000028512311

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Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections.

Applicant(s)

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Power of Attorney: The patent practitioners associated with Customer Number 23474

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/10661 09/23/2004

Foreign Applications

GERMANY 103 47 472.2 10/01/2003  
GERMANY 103 53 300.1 11/11/2003

If Required, Foreign Filing License Granted: 02/27/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US 10/574,237

Projected Publication Date: 06/05/2008

Non-Publication Request: No

Early Publication Request: No

**Title**

Polycyclic Macrolactones

**Preliminary Class**

435

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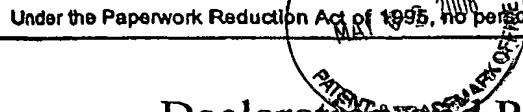
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# Declaration and Power of Attorney for Patent Application

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### German Language Declaration

Als nachstehend benannter Erfinder erkläre ich hiermit an Eides Statt:

daß mein Wohnsitz, meine Postanschrift und meine Staatsangehörigkeit den im nachstehenden nach meinem Namen aufgeführten Angaben entsprechen, daß ich nach bestem Wissen der ursprüngliche, erste und alleinige Erfinder (falls nachstehend nur ein Name angegeben ist) oder ein ursprünglicher, erster und Miterfinder (falls nachstehend mehrere Namen aufgeführt sind) des Gegenstandes bin, für den dieser Antrag gestellt wird und für den ein Patent für die Erfindung mit folgendem Titel beantragt wird:

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

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was filed on September 23, 2004  
 as United States Application Number or PCT  
 International Application Number  
PCT/EP2004/010661 and was amended on  
 \_\_\_\_\_ (if applicable).

deren Beschreibung hier beigefügt ist, es sei denn (in diesem Falle Zutreffendes bitte ankreuzen), diese Erfindung

Ich bestätige hiermit, daß ich den Inhalt der oben angegebenen Patentanmeldung, einschließlich der Ansprüche, die eventuell durch einen oben erwähnten Zusatzantrag abgeändert wurde, durchgesehen und verstanden habe.

Ich erkenne meine Pflicht zur Offenbarung jeglicher Informationen an, die zur Prüfung der Patentfähigkeit in Einklang mit Titel 37, Code of Federal Regulations, § 1.56 von Belang sind.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

**German Language Declaration**

Ich beanspruche hiermit ausländische Prioritätsvorteile gemäß Title 35, US-Code, § 119 (a)-(d), bzw. § 365(b) aller unten aufgeführten Auslandsanmeldungen für Patente oder Erfinderurkunden, oder § 365(a) aller PCT Internationalen Anmeldungen, welche wenigstens ein Land außer den Vereinigten Staaten von Amerika benennen, und habe nachstehend durch ankreuzen sämtliche Auslandsanmeldungen für Patente bzw. Erfinderurkunden oder PCT internationale Anmeldungen angegeben, deren Anmeldetag dem der Anmeldung, für welche Priorität beansprucht wird, vorangeht.

**Prior Foreign Applications  
(Frühere ausländische Anmeldungen)**

103 47 472.2      Germany

(Number)                (Country)  
(Nummer)                (Land)

103 53 300,1      Germany

(Number)                (Country)  
(Nummer)                (Land)

Ich beanspruche hiermit Prioritätsvorteile unter Title 35, US-Code, § 119(e) aller US-Hilfsanmeldungen wie unten aufgezählt.

(Application No.)      (Filing Date)  
(Aktenzeichen)          (Anmeldetag)

(Application No.)      (Filing Date)  
(Aktenzeichen)          (Anmeldetag)

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(Application No.)      (Filing Date)  
(Aktenzeichen)          (Anmeldetag)

(Application No.)      (Filing Date)  
(Aktenzeichen)          (Anmeldetag)

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**Priority Not Claimed  
Priorität nicht beansprucht**

01/Oct./2003

(Day/Month/Year Filed)  
(Tag/Monat/Jahr der Anmeldung)

11/Nov./2003

(Day/Month/Year Filed)  
(Tag/Monat/Jahr der Anmeldung)

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(Status) (patented, pending, abandoned)  
(Status) (patentiert, schwebend, aufgegeben)

(Status) (patented, pending, abandoned)  
(Status) (patentiert, schwebend, aufgegeben)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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I hereby appoint the Practitioners associated with the Customer Number: 23474 as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize the correspondence address associated with the above-mentioned Customer Number.

Vor- und Zuname des einzigen oder ersten Erfinders	Full name of sole or first inventor Hans-Peter FIEDLER	
Unterschrift des Erfinders	Datum	Inventor's signature  Date 29/05/2006
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Vor- und Zuname des zweiten Miterfinders (falls zutreffend)	Full name of second joint inventor, if any Roderich SUEBMUTH	
Unterschrift des zweiten Erfinders	Second Inventor's signature	Date
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Postanschrift	Sybelstrasse 69 Post Office Address 10629 Berlin Fed. Rep. of Germany	

(Im Falle dritter und weiterer Miterfinder sind die entsprechenden Informationen und Unterschriften hinzuzufügen.)

(Supply similar information and signature for third and subsequent joint inventors.)

**German Language Declaration****POWER OF ATTORNEY**

I hereby appoint the Practitioners associated with the Customer Number: **23474** as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize the correspondence address associated with the above-mentioned Customer Number.

Vor- und Zuname des einzigen oder ersten Erfinders	Full name of sole or first inventor Hans-Peter FIEDLER	
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Staatsangehörigkeit	Citizenship Germany	
Postanschrift	Appenbergstrasse 29, Post Office Address 72072 Tuebingen Fed. Rep. of Germany	
Vor- und Zuname des zweiten Miterfinders (falls zutreffend)	Full name of second joint inventor, if any Roderich SUEBMUTH	
Unterschrift des zweiten Erfinders	Datum	Second Inventor's signature Date <i>Roderich SUEBMUTH May 17<sup>th</sup> 2006</i>
Wohnsitz	Residence Tuebingen, Fed. Rep. of Germany	
Staatsangehörigkeit	Citizenship Germany	
Postanschrift	Sybelstrasse 69 Post Office Address 10629 Berlin Fed. Rep. of Germany	

(Im Falle dritter und weiterer Miterfinder sind die entsprechenden Informationen und Unterschriften hinzuzufügen.)

(Supply similar information and signature for third and subsequent joint inventors.)

Voller Name des einzigen oder ursprünglichen Erfinders:	Full name of third inventor Hans ZAEHNER	
Unterschrift des Erfinders	Datum	Third signature <i>Hans Zaeher</i> Date <i>18. May 2018</i>
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Staatsangehörigkeit	Citizenship Switzerland	
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Voller Name des zweiten Miterfinders (falls zutreffend)	Full name of fourth joint inventor, if any Alan BULL	
Unterschrift des Erfinders	Datum	Fourth Inventor's signature Date
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Postanschrift	Post Office Address University of Kent, Res. School of Biosciences, Canterbury Kent KT CT2 7NJ United Kingdom	

Voller Name des einzigen oder ursprünglichen Erfinders:	Full name of fifth inventor	
Unterschrift des Erfinders	Datum	Fifth signature Date
Wohnsitz	Residence	
Staatsangehörigkeit	Citizenship	
Postanschrift	Post Office Address	
Voller Name des zweiten Miterfinders (falls zutreffend)	Full name of sixth joint inventor, if any	
Unterschrift des Erfinders	Datum	Sixth Inventor's signature Date
Wohnsitz	Residence	
Staatsangehörigkeit	Citizenship	
Postanschrift	Post Office Address	

0388

Voller Name des einzigen oder ursprünglichen Erfinders:	Full name of third inventor Hans ZAEHNER		
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Staatsangehörigkeit	Citizenship Switzerland		
Postanschrift	Post Office Address Kistlerweg 7, CH-3006 Bern Switzerland		
Voller Name des zweiten Miterfinders (falls zutreffend)	Full name of fourth joint inventor, if any Alan BULL		
Unterschrift des Erfinders	Datum	Fourth Inventor's signature <i>Alan Bull</i> 25-5-2006 Date	
Wohnsitz	Residence Canterbury Kent, United Kingdom		
Staatsangehörigkeit	Citizenship United Kingdom		
Postanschrift	Post Office Address University of Kent, Res. School of Biosciences, Canterbury Kent KT CT2 7NJ United Kingdom		

Voller Name des einzigen oder ursprünglichen Erfinders:	Full name of fifth inventor		
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Wohnsitz	Residence		
Staatsangehörigkeit	Citizenship		
Postanschrift	Post Office Address		
Voller Name des zweiten Miterfinders (falls zutreffend)	Full name of sixth joint inventor, if any		
Unterschrift des Erfinders	Datum	Sixth Inventor's signature	Date
Wohnsitz	Residence		
Staatsangehörigkeit	Citizenship		
Postanschrift	Post Office Address		

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